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ORIGINALLY FILED

PATENT

PATENT

Attorney Reference Number 4641-61595

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re application of: Nakayama et al.

Application No. 10/003,042

Filed: November 13, 2001

For: METHODS AND DEVICES FOR

MEASURING A SURFACE PROFILE OF

AN OPTICAL ELEMENT

Examiner: Not yet assigned

Date: April 19, 2002

X

Art Unit: Not yet assigned

## CERTIFICATE OF MAILING

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being deposited with the United States Postal Service on April 19, 2002, as First Class Mail in an envelope addressed to:
BOX MISSING PARTS, COMMISSIONER FOR PATENTS, WASHINGTON D.C. 20231.

Attorney for Applicant

MISSING PARTS TRANSMITTAL LETTER

BOX MISSING PARTS COMMISSIONER FOR PATENTS Washington, DC 20231

Enclosed for filing in the application referenced above are the following:

$\boxtimes$	Basic Filing Fee of \$740.00
$\overline{\boxtimes}$	Translation Fee of \$130.00 set forth in 37 CFR §1.17(i)
$\overline{\boxtimes}$	16 pages of specification, 5 pages of claims, an abstract, all as translated into
	English.

Copy of Notice to File Missing Parts of Application--Filing Date Granted

Combined Declaration and Power of Attorney.

As required under 37 CFR §1.52(d), the undersigned hereby states, to the best of his current knowledge and belief, that the enclosed English translation of the specification, claims, and abstract are accurate. In preparing the enclosed English documents, certain changes were made to render the text more understandable (as an English document translated from Japanese) and to place the application in a proper format for a U.S. patent application. No new matter was added thereby.

5 sheet(s) of drawings.

An assignment of the invention to: Nikon Corporation, a Recordation Cover Sheet, and the Recordal fee of \$40.00.

☐ Information Disclosure Statement.

Form PTO-1449 and copies of documents listed thereon.

FILING FEE							
	Claims	Number		Number		Basic Fee	
For	Filed	Free		Extra	Rate	\$740.00	
Total Claims	14	20	=	0	\$18.00	\$ 0.00	
Independent Claims	4	3	=	1	\$84.00	84.00	
Multiple Dependent Claim Fee					\$280.00		
TOTAL FILING FE	E					\$824.00	

$\boxtimes$	Surcharge for	Late Filing of	of Fee or	Oath or	Declaration
	$\square$	\$130.00 - A	II Others	<b>\</b>	

- A check in the amount of \$1124.00 to cover the above-listed fees
- The Director is hereby authorized to charge any additional fees, which may be required, or credit over-payment, to Account No. 02-4550. A copy of this sheet is enclosed.
- Please return the enclosed postcard to confirm that the items listed above have been received.

Respectfully submitted,

KLARQUIST SPARKMAN, LLP

Ву

Donald L. Stephens Jr.

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cc: Docketing



## STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER APPLICATION NUMBER FILING/RECEIPT DATE

10/003,042

11/13/2001

Shigeru Nakayama

4641-61595

**CONFIRMATION NO. 1236** 

**FORMALITIES LETTER** 

KLARQUIST SPARKMAN, LLP One World Trade Center **Suite 1600** 121 S.W. Salmon Street Portland, OR 97204

Date Mailed: 02/20/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

05/01/\$302 NMOHAMM1 00000018 10003042

740.00 OP

FILED UNDER 37 CFR 1.53(b)

130.00 UP 84.00 BP 130.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- The balance due by applicant is \$ 1000.
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text)

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